

SERS Board
Audit, Risk and Compliance Committee
Meeting Agenda

Tuesday, September 29, 2026 ~ 12:45 p.m. (#time)
Board Room, 5th Floor, 30 North 3rd Street, Harrisburg, PA 17101
SERS Livestream Access – [PAcast.com/live/sers](https://pcast.com/live/sers)
For meeting updates, text “SERS” to 888.665.0184

1. Call to Order and Roll Call
2. Welcome and Introductions
3. Approval of the July 21, 2026, Audit, Risk, and Compliance Committee Meeting Minutes
4. Old Business (5 min)
 - A. Update on RFQ 25-044 Global Internal Audit Standards Gap Assessment
5. Special Presentations – NONE
6. New Business (20 min)
 - A. Internal Audit Office Budget (McCoy) (Motion Required)
 - B. Internal Audit Plan Update (McCoy)
 - C. Enterprise Risk Management Discussion (Torta; Collins, C.)
7. Executive Session (20 min)
 - A. Enterprise Risk Management Discussion (if necessary)
 - B. SERS’ 2026 Cybersecurity Update (Krauthaim; Stroup)
 - C. Chief Compliance Officer Update – Compliance Quarterly Report for the Period Ending June 30, 2026 (Collins, J.)
8. Public Session Resumes
9. Informational Items
 - A. Internal Audit Office Issues Management Tracking Document
 - B. Fraud Hotline Summary
 - C. Chief Compliance Officer Quarterly Report on Matters Specified Under Section V.E.4 of the Audit, Risk and Compliance Committee Charter – Confidential Informational Item Only
10. Comments, Concerns, Questions, and Reminders
 - A. Next Regularly Scheduled Committee Meeting Date: December 1, 2026
11. Motion to Adjourn

Committee Members: Mr. Donald Enders Jr, Committee Chair; Representative Brett Miller, Assistant Committee Chair; Mr. W. Russel Faber; Secretary Wendy Spicher; Mr. Gregory Thall; Executive Deputy Secretary Brenda Warburton

SERS Staff: Ryan McCoy, Internal Audit Director and Jo Ann Collins, Chief Compliance Officer

SERS Legal Counsel: N. Joseph Marcucci, Chief Counsel