

AGENDA

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## Audit, Risk and Compliance Committee

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**Tuesday, December 2, 2025, ~ 11:00 a.m.**

Board Room, 5<sup>th</sup> Floor, 30 North 3<sup>rd</sup> Street, Harrisburg, PA 17101

SERS Livestream Access – <https://www.pacast.com/live/sers>

For meeting updates text “SERS” to 888.665.0184

1. Call to Order and Roll Call
2. Welcome and Introductions
3. Approval of Minutes of the September 30, 2025, Audit, Risk, and Compliance Committee Meeting
4. Old Business – None
5. Special Presentations – None
6. New Business
  - A. Internal Audit Office Update
    - i. Internal Audit Plan 2026-2027 Update
    - ii. Independent Auditing Services Statement of Work
    - iii. Fraud Hotline Update
  - B. KPMG’s 2025 Audit Plan and Strategy – Report to the Audit, Risk, and Compliance Committee
7. Executive Session
  - A. Data Privacy and Protection Audit
  - B. Chief Compliance Officer Update – Compliance Quarterly Report for the Period Ending September 30, 2025
  - C. Informational Items
    - i. Internal Audit Office Issues Management Tracking Documents
    - ii. Chief Compliance Officer Quarterly Report: Audit, Risk and Compliance Committee Charter, Section V.E.4. – Informational Item Only

- iii. Update on Chief Compliance Officer 2025 Goals
- iv. Update on Internal Audit Director 2025 Goals
- v. Fraud Hotline Summary

8. Public Session Resumes

9. Informational Items – None

10. Comments, Concerns, Questions, and Reminders

A. Next Regularly Scheduled Committee Meeting Date: TBD

11. Motion to Adjourn

**Committee Members:** Donald Enders Jr.; Treasurer Stacy Garrity (Committee Chair); Representative Brett Miller (Assistant Committee Chair); Secretary Uri Monson; Secretary Wendy Spicher