

AGENDA

Audit, Risk and Compliance Committee

Tuesday, September 30, 2025, ~ 1:30 p.m.

Board Room, 5th Floor, 30 North 3rd Street, Harrisburg, PA 17101

SERS Livestream Access – <https://www.pacast.com/live/sers>

For meeting updates text “SERS” to 888.665.0184

1. Call to Order and Roll Call
2. Welcome and Introductions
3. Approval of Minutes of the July 22, 2025, Audit, Risk and Compliance Committee Meeting
4. Old Business – None
5. Special Presentations – None
6. New Business
 - A. Internal Audit Office Update
 - i. Internal Audit Office Budget
 - ii. Internal Audit Plan Update
 - iii. Global Internal Audit Standards Gap Assessment Procurement
 - B. Level One Actuary Replication Audit Results
7. Executive Session
 - A. SOC 1 Type 2 Audit Results
 - B. Internal Audit Issues Management Databases
 - C. Enterprise Risk Management Discussion
 - D. Network Vulnerability Assessment
 - E. Chief Compliance Officer Update – Compliance Quarterly Report for the Period Ending June 30, 2025
 - F. Informational Items
 - i. Internal Audit Office Issues Management Tracking Documents

- ii. Chief Compliance Officer Quarterly Report: Audit, Risk and Compliance Committee Charter, Section V.E.4.
 - iii. Fraud Hotline Summary
8. Public Session Resumes
- A. SOC 1 Type 2 Audit Results
9. Informational Items – None
10. Comments, Concerns, Questions, and Reminders
- A. Next Regularly Scheduled Committee Meeting Date: December 2, 2025
11. Motion to Adjourn

Committee Members: Donald Enders Jr.; Treasurer Stacy Garrity (Committee Chair); Representative Brett Miller (Assistant Committee Chair); Secretary Uri Monson; Secretary Wendy Spicher