

AGENDA

Audit, Risk & Compliance Committee Meeting

February 25, 2025 ~ 1:30 P.M.

SERS Board Room, 5th Floor, 30 North 3rd Street, Harrisburg, PA 17101

SERS Livestream Access - <https://www.pacast.com/live/sers>

For meeting updates text "SERS" to 888-665-0184

1. Call to Order
2. Welcome and Roll Call
3. Approval of Minutes of December 3, 2024, Audit, Risk, and Compliance Committee Meeting
4. Old Business (None)
5. Special Presentations (None)
6. New Business
 - a. Review of the Audit, Risk, and Compliance Committee's 2025 Work Plan
 - b. Internal Audit Office Update
 1. Senior Information Technology Auditor
 2. SOC Audit Update
 3. External Financial Statement Audit Update
7. Executive Session
 - a. Chief Compliance Officer Update
 1. Quarterly Report as of December 31, 2024
 - b. Fraud Hotline Update
 - c. Security Incident
 - d. 2025 Internal Audit Director Performance Goals
 - e. 2025 Chief Compliance Officer Performance Goals
 - f. Chief Compliance Officer Quarterly Report: Audit, Risk and Compliance Committee Charter, Section V.E.4.
8. Public Session Resumes
9. Comments/Concerns/Questions
10. Motion to Adjourn

Committee Members: Donald Enders Jr.; Treasurer Stacy Garrity (Committee Chair); Representative Brett Miller (Assistant Committee Chair); Secretary Uri Monson; Secretary Wendy Spicher

NEXT COMMITTEE MEETING DATE – April 29, 2025

