

AGENDA

Audit, Risk & Compliance Committee Meeting

June 11, 2024 ~ 10:30 A.M. (2 hr. 0 min.)

SERS Board Room, 5th Floor, 30 North 3rd Street, Harrisburg, PA 17101

SERS Livestream Access - <https://www.pacast.com/live/sers>

For meeting updates text "SERS" to 888-665-0184

1. Call to Order
2. Welcome and Roll Call
3. Approval of Minutes of April 30, 2024 Audit, Risk and Compliance Committee Meeting
4. Old Business – None
5. Special Presentations – None
6. New Business – None
7. Executive Session
 - a. Results of 2023 Independent Audit
 - Independent Auditor's Required Communications to the Audit, Risk and Compliance Committee – Auditing Standard, AU-C Section 260
 - Commonwealth of Pennsylvania State Employees' Retirement System 2023 Financial Statements with Required Supplementary Information
 - Commonwealth of Pennsylvania State Employees' Retirement System Deferred Compensation Plan 2023 Financial Statements
 - b. Internal Audit Office Update
 - c. Chief Compliance Officer Update
 - Quarterly Report for the Period Ending March 31, 2024
 - d. Theft, Fraud and Abuse Hotline Update
 - e. System and Organization Controls (SOC) 1 Type 2 Audit Vendor Procurement

- f. Results of the EY 2024 Policy and Procedure Review and Gap Assessment
 - g. Chief Compliance Officer Quarterly Report: Audit, Risk and Compliance Committee Charter, Section V.E.4. – Informational Item Only (Confidential – Password Protected)
- 8. Public Session Resumes
 - a. Results of 2023 Independent Audit
 - b. System and Organization Controls (SOC) 1 Type 2 Audit Vendor Procurement
 - 9. Committee Comments/Concerns/Questions
 - 10. Motion to Adjourn

Committee Members: Donald Enders Jr.; Treasurer Stacy Garrity (Committee Chair); Representative Brett Miller (Assistant Committee Chair); Secretary Uri Monson; Secretary Wendy Spicher

NEXT COMMITTEE MEETING DATE – June 18, 2024