

AGENDA

Audit, Risk & Compliance Committee Meeting

September 19, 2023 ~ 12:30 P.M.

SERS Board Room, 5th Floor, 30 North 3rd Street, Harrisburg, PA 17101

SERS Livestream Access - <https://www.pacast.com/live/sers>

For meeting updates text "SERS" to 888-665-0184

1. Call to Order
2. Welcome and Roll Call
3. Approval of Minutes of June 6, 2023, Audit, Risk and Compliance Committee Meeting
4. Old Business (None)
5. Special Presentations (None)
6. New Business (None)
7. Executive Session
 - a. Internal Audit Office Update
 1. Internal Audit Office Staffing Update
 2. Internal Control and Policy/Procedure Gap Assessment Update
 3. Enterprise Risk Management Submission to Budget Office
 - b. Member Withdrawal Process Review
 - c. Disability Retirement Review
 - d. Chief Compliance Officer Update – Quarterly Report as of June 30, 2023
 - e. Chief Information Security Officer Update
 1. Network Vulnerability Assessment
 2. Mandiant IR Services
 3. Cybersecurity Maturity
 - f. Theft, Fraud, and Abuse Hotline Update
 - g. Review of Due Diligence Performed on Board Approved Investments for the Period February through July 2023
8. Public Session Resumes

9. Comments/Concerns/Questions

10. Motion to Adjourn

Committee Members: Mr. Glenn Becker (Assistant Committee Chair), Treasurer Stacy Garrity (Chair), Representative Brett Miller, Mr. Uri Monson, Mr. Gregory Thall

NEXT COMMITTEE MEETING DATE – December 5, 2023