

AGENDA

Audit, Risk & Compliance Committee Meeting

February 21, 2023 ~ 2:30 p.m.

SERS Board Room, 5th Floor, 30 North 3rd Street, Harrisburg, PA 17101

SERS Livestream Access - <https://www.pacast.com/live/sers>

For meeting updates text "SERS" to 888-665-0184

1. Call to Order
2. Welcome and Roll Call
3. Approval of December 5, 2022 Committee Meeting Minutes
4. Old Business (None)
5. Special Presentations (None)
6. New Business
 - a. Review of the Audit, Risk and Compliance Committee's 2023 Work Plan
7. Executive Session
 - a. Chief Compliance Officer Update – Quarterly Report as of December 31, 2022
 - b. Internal Audit Office Update
 - c. Review of Due Diligence Performed on Board Approved Investments for the Period December 2022
 - d. 2023 Performance Goals for Chief Compliance Officer (Informational Item Only)
 - e. 2023 Performance Goals for Internal Audit Director (Informational Item Only)
8. Public Session Resumes
9. Committee Comments/Concerns/Questions
10. Motion to Adjourn

Committee Members: Mr. Glenn Becker, Treasurer Stacy Garrity, Ms. Mary Soderberg, Mr. Gregory Thall

NEXT COMMITTEE MEETING DATE – June 6, 2023