

AGENDA

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## Audit, Risk & Compliance Committee Meeting

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**December 05, 2022 ~ 1:15 p.m.**

SERS Board Room, 5<sup>th</sup> Floor, 30 North 3<sup>rd</sup> Street, Harrisburg, PA 17101

SERS Livestream Access - <https://www.pacast.com/live/sers>

For meeting updates text "SERS" to 888-665-0184

1. Call to Order
2. Welcome and Roll Call
3. Approval of September 22, 2022, Committee Meeting Minutes
4. Old Business (None)
5. Special Presentations (None)
6. New Business (None)
7. Executive Session
  - a. Chief Compliance Officer Update – Quarterly Report as of September 30, 2022
  - b. Internal Audit Office Update on the SOC Audit
  - c. Review of Due Diligence Performed on Board Approved Investments for the Period September 2022
  - d. Results of Green Book/COSO Internal Control Review for 2022
  - e. Chief Information Security Officer Update on Cyber Insurance
  - f. KPMG's 2022 Audit Plan and Strategy – Report to the Audit, Risk and Compliance Committee
  - g. FinancialTimes.com, November 8, 2022, *Rising Cost of Cyber Attacks Send Insurance Policy Charges Soaring – Informational Item Only*
  - h. Progress Report on the 2022 Performance Goals for Internal Audit Director and the Chief Compliance Officer – *Informational Item Only*
  - i. Committee Members Provide Input to Executive Director into the January Performance Evaluations of the Internal Audit Director and Chief Compliance Officer – *Informational Item Only*
8. Public Session Resumes
9. Committee Comments/Concerns/Questions
10. Motion to Adjourn

**Committee Members:**

Mr. Glenn Becker (Assistant Chair); Treasurer Stacy Garrity (Chair); Representative Paul Schemel; Ms. Mary Soderberg; Secretary Gregory Thall

NEXT COMMITTEE MEETING DATE – TBD