

Minutes of the Securities Litigation Committee Organizational Meeting

Friday, February 25, 2022 – Time: 2:05 P.M. (scheduled) – 2:23 P.M (actual) – Livestream

Attendees.¹

Securities Litigation Committee Members and Designees:

Gregory Jordan, Chair

Matthew Lindsay, designee for Senator Vincent Hughes, Assistant Chair

Jill Vecchio, designee for Representative Paul Schemel

Board Members and Designees:

Glenn Becker

David Fillman

Mary Soderberg

Patrick Shaughnessy, designee for Representative Dan Frankel

Christopher Craig, designee for Treasurer Stacy Garrity

James Bloom, designee for Secretary Richard Vague

Committee Staff:

Jeff McCormick, Deputy Chief Counsel/Committee Counsel

Sarah Souder, Counsel/Committee Staff

SERS Staff:

Rose Agnew, Executive Secretary

Kathrine Bates, Administrative Officer

Don Bell, Executive Assistant

Kathleen Bernhard, Administrative Assistant

Jo Ann Collins, Chief Compliance Officer

Thomas Derr, Executive Communications Director

Pamela Hile, Communications Director

Christopher Houston, Deputy Executive Director for Administration

Daniel Krautheim, Chief Information Officer

N. Joseph Marcucci, Chief Counsel

Sara McSurdy, Chief Financial Officer

James Nolan, Chief Investment Officer

Joseph Torta, Executive Director

William Truong, Deputy Chief Investment Officer

Carly Wismer, Counsel

¹ All Committee/Board members, designees, and SERS staff participated via Microsoft Teams unless otherwise indicated.

1. **Call to Order.**

At 2:23 p.m., Mr. Jordan called the meeting to order.

2. **Welcome and Introduction.**

Chair Jordan welcomed Committee members, designees, and other non-Committee Board members. Joe Marcucci, SERS Chief Counsel, thanked outgoing Committee Staff, Sarah Souder, and introduced incoming Committee Staff, Carly Wismer.

3. **Approval of Minutes of September 28, 2021 and January 14, 2022 Securities Litigation Committee Meetings.**

By motion that was moved by Chair Jordan and approved unanimously by Committee members, it was:

RESOLVED: That this Committee approve the meeting minutes of the September 28, 2021 and January 14, 2022 Securities Litigation Committee meetings.

4. **Review Securities Litigation Committee Work Plan.**

Staff presented the Committee Work Plan. After such presentation, by motion that was moved by Chair Jordan and approved unanimously by Committee members, it was:

RESOLVED: That this Committee recommend that the State Employees' Retirement Board approve the Securities Litigation Committee Work Plan.

5. **Committee Comments/Concerns/Questions.**

There were no additional comments, concerns, or other questions from Committee members.

6. **Motion to Adjourn.**

By motion that was moved by Chair Jordan and approved unanimously by Committee members, it was:

RESOLVED: That this meeting be adjourned at 2:33 p.m.

Respectfully submitted,

Sarah Souder
Counsel/Committee Staff