

AGENDA

Audit, Risk and Compliance Committee Meeting

December 7, 2021 ~ 1:00 pm

SERS Livestream Access - <https://www.pacast.com/live/sers>

For meeting updates text "SERS" to 888-665-0184

1. Call to Order
2. Welcome and Roll Call
3. Approval of September 28, 2021, Committee Meeting Minutes
4. Old Business – None
5. Special Presentations – None
6. New Business
 - a. Review of the Audit, Risk and Compliance Committee Charter
 - b. State Employees' Retirement Board – Draft Internal Audit Office Charter
– *Informational Item Only*
7. Executive Session
 - a. KPMG's 2021 Audit Plan and Strategy – Report to the Audit, Risk and Compliance Committee
 - b. Chief Compliance Officer Update – Quarterly Report as of September 30, 2021
 - c. Internal Audit Office Update
 - d. Review of Due Diligence Performed on Board Approved Investments for the Period September 2021
 - e. Results of Green Book/COSO Internal Control Review for 2021
 - f. Review of 2021 Performance Goals for Internal Audit Director – *Informational Item Only*
 - g. COSO/Green Book Status Report – *Informational Item Only*
8. Public Session Resumes
9. Committee Comments/Concerns/Questions
10. Motion to Adjourn

Committee Members: Mr. Glenn Becker; Treasurer Stacy Garrity (Assistant Chair); Representative Paul Schemel; Ms. Mary Soderberg; Secretary Gregory Thall (Committee Chair)

NEXT COMMITTEE MEETING DATE – February 2022