

AGENDA

Audit, Risk and Compliance Committee Meeting

September 28, 2021 ~ 3:05 pm

SERS Livestream Access - <https://www.pacast.com/live/sers>

For meeting updates text "SERS" to 888-665-0184

1. Call to Order
2. Welcome and Roll Call
 - a. Introduction of Jo Ann Collins, Chief Compliance Officer
3. Approval of June 8, 2021 Committee Meeting Minutes
4. Old Business – None
5. Special Presentation – None
6. New Business
 - a. Independent Internal Control Audit – Procurement Discussion
 - b. Review of Draft Policy – Rotation of Independent Audit Partner
 - c. Internal Audit Office Update
 - d. Schedule of Employer Allocations and Pension Amounts by Employer as of December 31, 2020
7. Executive Session
 - a. Results of 2021 Independent Network Vulnerability Study
 - b. Cybersecurity Program Plan Update
 - c. Chief Compliance Officer Update – Quarterly Report as of June 30, 2021
 - d. Results of 2021 Green Book Risk Assessment
 - e. Review of Due Diligence Performed on Board Approved Investments for the Period June 2021
 - f. Elderly and Foreign Annuitant Audit
8. Public Session Resumes
9. Committee Comments/Concerns/Questions
10. Motion to Adjourn

Committee Members:

Secretary Gregory Thall (Committee Chair), Treasurer Stacy Garrity (Assistant Committee Chair), Mr. Glenn Becker, Representative Paul Schemel, Ms. Mary Soderberg

NEXT COMMITTEE MEETING DATE – December 7 or 8, 2021