

AGENDA

Audit, Risk and Compliance Committee Meeting

February 23, 2021 ~ 12:55 p.m.
SERS LIVESTREAM ACCESS
<https://www.pacast.com/live/sers>

1. Call to Order
2. Welcome New Committee Members and Roll Call
3. Election of Audit, Risk and Compliance Committee Chair
4. Approval of December 1, 2020, Committee Meeting Minutes
5. Old Business (None)
6. Special Presentations (None)
7. New Business
 - a. Appointment of Assistant Chair
 - b. Review of 2021 Committee Work Plan
 - c. Review of the Audit, Risk and Compliance Committee Charter
 - d. Policies and Procedures of Internal Audit and the Compliance Office
8. Executive Session
 - a. Results of 2020 Network Vulnerability Study
 - b. Update on Chief Information Security Officer Program
 - c. Acting Chief Compliance Officer Update – Quarterly Report as of December 31, 2020
 - d. Acting Chief Compliance Officer 2021 Performance Goals
 - e. Review of Investment Manager Fee Validation
 - f. Review of Due Diligence Performed on Board Approved Investments for the period December 2020
 - g. Review of 2021 Agency Risk Assessment and 2021 Internal Audit Projects and Goals
9. Public Session Resumes
10. Committee Comments/Concerns/Questions
11. Motion to Adjourn

Committee Members: Mr. Glenn Becker; Treasurer Stacey Garrity; Representative Paul Schemel; Ms. Mary Soderberg; Mr. Gregory Thall

NEXT COMMITTEE MEETING DATE – April 21 or 22, 2021