

**Minutes of the Securities Litigation Committee Meeting  
Tuesday, September 29, 2020**

**Attendees:**

**Securities Litigation Committee:**

Gregory Jordan, Chair (via audiovisual)  
Glenn Becker, Assistant Chair (via audiovisual)  
Toni Marchowsky (designee for Sen. Vincent Hughes) (via audiovisual)

**Other Board Members:**

Jim Bloom (designee for Acting Secretary Vague) (via audiovisual)  
David Fillman (via audiovisual)  
Alan Flannigan (designee for Acting Secretary Vague) (via audiovisual)  
Jill Vecchio (designee for Rep. Tobash) (via audiovisual)

**SERS Staff:**

Rose Agnew  
Randy Albright, Special Assistant  
Don Bell  
Kelly Bernhard  
Britt Erney-Muniz, Counsel  
Randy Gilson  
Christopher Houston, Committee Senior Staff  
Seth Kelly, Chief Investment Officer  
Dan Krautheim, Chief Information Officer  
N. Joseph Marcucci, Chief Counsel  
Jeff McCormick, Deputy Chief Counsel  
Sara McSurdy, Chief Financial Officer  
Jim Nolan, Deputy Chief Investment Officer (via audiovisual)  
Terrill Sanchez, Executive Director

**1. Call to Order**

At 3:31 p.m., Mr. Jordan called the meeting to order.

**2. Welcome and Introduction**

Mr. Jordan welcomed Committee members, designees, and other non-committee Board members.

### **3. Approval of Minutes**

**Minutes of meeting minutes of February 25, 2020, Securities Litigation Committee meeting.**

**Motion:**

By motion that was moved, seconded, and approved unanimously by Committee members, it was:

RESOLVED: That this Committee approves the meeting minutes of the February 25, 2020, Securities Litigation Committee meeting.

### **4. Old Business**

Staff provided an update on the establishment of the securities litigation pool.

### **5. Special Presentations**

There were no special presentations.

### **6. New Business**

#### **a) Class Action Receipts for 2019 4th Quarter and 2020 1st and 2nd Quarters**

Staff reviewed the class action receipts for the 4<sup>th</sup> quarter of 2019 and 1st and 2nd quarters of 2020.

#### **b) 2020 Securities Litigation Report – 1st and 2nd Quarters**

Staff reviewed the Securities Litigation Report for the 1st and 2nd quarters of 2020.

#### **c) Securities Litigation Monitoring, Claims Filing, and Case Evaluation**

Staff reviewed securities litigation monitoring, claims filing, and case evaluation.

### **5. Committee Comments/Concerns/Questions**

There were no additional comments, concerns, or other questions from Committee members.

### **6. Motion to Adjourn**

**Motion:**

By motion that was moved by Mr. Jordan and approved unanimously by Committee members, it was:

RESOLVED: That this meeting was adjourned at 4:12 p.m.

Respectfully submitted,

*Christopher C. Houston*

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Christopher C. Houston  
Deputy Executive Director for Administration