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## **Audit, Risk and Compliance Committee Meeting**

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September 29, 2020 ~ 9:50 AM ~ Teleconference

TELE-CONFERENCE 1-888-585-9008

Conference Room 637-224-742#

Identify Yourself and PRESS#

1. Call to Order
2. Welcome and Introductions – None
3. Approval of June 9, 2020 Committee Meeting Minutes
4. Old Business – None
5. Special Presentations – None
6. New Business
  - a. Internal Audit Update
  - b. Compliance Program Charter
  - c. Conflict of Interest Questionnaire
  - d. Insider and Personal Trading Policy
  - e. Board Whistleblower Policy Consideration
7. Executive Session
  - a. Acting Chief Compliance Officer Update – Quarterly Report as of June 30, 2020
  - b. 2020 Agency Risk Assessment Overview
  - c. 2020 Green Book Based Internal Control Audit
  - d. Review of Due Diligence Performed on Board Approved Investments For the Period July 2020
8. Public Session Resumes
9. Committee Comments/Concerns/Questions
10. Motion to Adjourn

Committee Members: Committee Chair, Treasurer Joseph Torsella; Senator John DiSanto; Representative Dan Frankel; Ms. Mary Soderberg; Mr. Gregory Thall

NEXT COMMITTEE MEETING DATE – December 1, 2020