

## **AGENDA**

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### **Audit, Risk, and Compliance Committee Meeting**

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December 4, 2019 ~ 8:30-10:30 a.m. ~ Board Room ~ 5<sup>th</sup> Floor

1. Call to Order
2. Approval of Minutes of September 25, 2019, Audit, Risk, and Compliance Committee Meeting
3. New Business
  - a. KPMG's 2019 Audit Plan and Strategy – Report to the Audit, Risk and Compliance Committee
  - b. Chief Compliance Officer and Internal Audit Director Annual Review Process
4. Executive Session
  - a. Chief Information Officer Update - 2019 Independent Network Vulnerability Assessment and Chief Information Security Officer Update
  - b. 401(a) Defined Contribution Accounting Update
  - c. Internal Audit Update and 2020 Audit Universe and Risk Assessment
5. New Business (Continued)
  - a. Compliance Officer Update – Quarterly Report – Period Ending September 30, 2019
6. Old Business (None)
7. Committee Comments/Concerns/Questions
  - a. Next Committee Meeting Dates – February 25 or 26, 2020
8. Motion to Adjourn

#### Committee Members and Designees:

Senator John DiSanto  
Representative Dan Frankel  
Mary A. Soderberg  
Assistant Chair and Treasurer Joseph Torsella