

AGENDA

---

**Audit, Risk & Compliance Committee Meeting**

---

**September 17, 2024 ~ 12:30 P.M.**

SERS Board Room, 5<sup>th</sup> Floor, 30 North 3<sup>rd</sup> Street, Harrisburg, PA 17101

SERS Livestream Access - <https://www.pacast.com/live/sers>

For meeting updates text "SERS" to 888-665-0184

1. Call to Order
2. Welcome and Roll Call
3. Approval of Minutes of July 23, 2024, Audit, Risk and Compliance Committee Meeting
4. Old Business – None
5. Special Presentations – None
6. New Business
  - a. 2025/2026 Internal Audit Office Budget
7. Executive Session
  - a. Policy and Procedure Review and Gap Assessment – Implementation Update
  - b. Annual Vulnerability Assessment Review
  - c. Enterprise Risk Management Risk Register Review
  - d. 2025 Internal Audit Plan – Preliminary Review
  - e. Chief Compliance Officer Update – Quarterly Report for the Period Ending June 30, 2024
  - f. Independent Actuary Replication Audit Services
  - g. Theft, Fraud, and Abuse Hotline Update
  - h. Chief Compliance Officer Quarterly Report: Audit, Risk and Compliance Committee Charter, Section V.E.4.
8. Public Session Resumes
  - a. Recommendation of Approval of Independent Actuary Replication Audit Services
9. Committee Comments/Concerns/Questions
10. Motion to Adjourn

**Committee Members:** Donald Enders Jr.; Treasurer Stacy Garrity (Committee Chair); Representative Brett Miller (Assistant Committee Chair); Secretary Uri Monson; Secretary Wendy Spicher

NEXT COMMITTEE MEETING DATE – December 3, 2024

